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AP9RSCDPGMP10 22 DEC 2005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92245

Kazuyuki OHMOTO, et al.

Appln. No.: National Stage Entry of PCT/JP2004/009071

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: December 22, 2005

Examiner: Unknown

For: NOVEL TRICYCLIC HETEROCYCLIC RING COMPOUND

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

A copy of an International Search Report in a counterpart application is submitted herewith. The USPTO should receive copies of the references cited in the International Search Report from the International Bureau. Applicant will furnish any missing references upon request. In compliance with the concise explanation of relevance requirement for any foreign language documents listed, Applicants respectfully rely on the information in the International Search Report.

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INFORMATION DISCLOSURE STATEMENT  
National Stage Entry of PCT/JP2004/009071

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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| Substitute for Form 1449 A & B/PTO                       |   |    |   | AP9 PCT/JP2004/009071 22 DEC 2005 |   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | Application Number                | National Stage Entry of PCT/JP2004/009071 |
|  |   |    |   | Confirmation Number               | Unknown                                   |
|  |   |    |   | Filing Date                       | December 22, 2005                         |
|  |   |    |   | First Named Inventor              | Kazuyuki OHMOTO                           |
|  |   |    |   | Art Unit                          | Unknown                                   |
|  |   |    |   | Examiner Name                     | Unknown                                   |
| Sheet  | 1 | of | 1 | Attorney Docket Number            | Q92245                                    |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
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| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. |  |  | Translation <sup>6</sup> |
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| Examiner Signature |  | Date Considered |  |
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